



Receipt \$  
3

PATENT  
Attorney Docket No. 206214

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KINNEY ET AL.

Art Unit: 2152

Application No. 09/993,536

Examiner: Not Known

Filed: November 14, 2001

For: REMOTE FIELD BUS MESSAGING VIA  
INTERNET APPLLET/SERVLET PAIRS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir

Applicants hereby respond to the Notice to File Missing Parts of Application dated December 11, 2001.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

2. Documents Submitted Herewith

- a. ☒ Executed Declaration of inventor(s) (6 pages)
- b. ☒ Nucleotide and/or Amino Acid Sequence Submission:
  - i. ☐ Computer Readable Form (CRF)
  - ii. Specification Sequence Listing on:
    - (1) ☐ CD-ROM or CD-R (2 copies); or
    - (2) ☐ Paper Copy
  - iii. ☐ Statement verifying identity of above copies
- c. ☐ Verified English translation of application
- d. ☒ Copy of the Notice to File Missing Parts of Application
- e. ☐ Substitute Drawings
- f. ☒ Other: Filing Receipt of 12/11/01 with correction marked.

03/22/2002 SDIRETA1 00000014 09993536

02 FC:115

110.00 0P

CERTIFICATE OF MAILING

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date: March 11, 2002

Maya A. Smith

3. Fees

**Application Filing Fee**

APPLICATION FEES				
BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	-20=		x \$18.00	\$
Independent Claims	- 3=		x \$84.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$280.00	\$
Total of above calculations =				\$
Reduction by 50% for filing by small entity =				\$( )
<b>Fee Due =</b>				<b>\$</b>

4. Late Filing of Oath or Declaration

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

**Fee Due** \$130.00

5. Submitting a Translation of the Specification

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

**Fee Due** \$ 0.00

6. Extension of Time

☒ Applicants petition for a one-month extension of time under 37 CFR 1.136, the fee for which is \$110.00.

☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

**Fee Due** \$110.00

7. Total Fee Due

The total fee due is:

Application Filing Fee	\$
Late Filing of Declaration Surcharge	\$130.00
Non-English Specification Surcharge	\$ 0.00
Extension of Time Fee	\$110.00

**Total Fee Due: \$240.00**

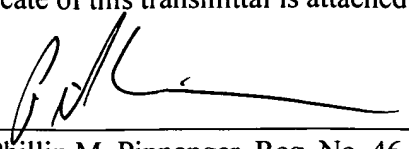
8. Fee Payment

☒ Attached is a check in the sum of \$240.00.  
☐ Charge Account No. 12-1216 the sum of \$ . A duplicate of this transmittal is attached.

In re Appln. of KINNEY ET AL.  
Application No. 09/993,536

**9. Fee Deficiency**

- ☒ If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.



---

Phillip M. Pippenger, Reg. No. 46,055  
One of the Attorneys for Applicant(s)  
LEYDIG, VOIT & MAYER, LTD.  
Two Prudential Plaza, Suite 4900  
180 North Stetson  
Chicago, Illinois 60601-6780  
(312) 616-5600 (telephone)  
(312) 616-5700 (facsimile)

Date: March 11, 2002

Missing Parts Response (Revised 2/7/02)



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,536	11/14/2001	Thomas B. Kinney	206214

CONFIRMATION NO. 8676

23460

LEYDIG VOIT & MAYER, LTD  
TWO PRUDENTIAL PLAZA, SUITE 4900  
180 NORTH STETSON AVENUE  
CHICAGO, IL 60601-6780

FORMALITIES LETTER



\*OC000000007179900\*

Date Mailed: 12/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/22/2002 SDIRETA1 00000014 09993536

01 FC:105

130.00 OP



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/993,536	11/14/2001	2152	1070	206214	7	29	5

CONFIRMATION NO. 8676

23460

LEYDIG VOIT & MAYER, LTD  
TWO PRUDENTIAL PLAZA, SUITE 4900  
180 NORTH STETSON AVENUE  
CHICAGO, IL 60601-6780

## FILING RECEIPT



\*OC000000007179899\*

Date Mailed: 12/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas B. Kinney, Residence Not Provided;

~~Raymond D. Sawyer, Residence Not Provided;~~

Serge Miller, Residence Not Provided;

Delete

LEYDIG, VOIT & MAYER  
RECEIVED

## Domestic Priority data as claimed by applicant

## Foreign Applications

DEC 18 2001

PAT/TM Due Date \_\_\_\_\_

If Required, Foreign Filing License Granted 12/10/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Remote fieldbus messaging via internet applet/servlet pairs

LAF

## Preliminary Class

709

LAF